

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of

John C. Harvey and James W. Cuddihy

Group Art Unit 2602

Serial No. 08/487,411

Examiner James Groody

Filed June 7, 1995

Atty Dkt: 5634.318

For **SIGNAL PROCESSING APPARATUS  
AND METHODS**

## TRANSMITTAL

Assistant Commissioner of Patents  
Washington, D.C. 20231

- ☒ Second Supplemental Preliminary Amendment
- ☒ Small Entity Status under 37 CFR 1.9 and 1.27 has been established
- ☒ The fee has been calculated as shown below:

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	(Col 1)		(Col 2)	(Col 3)		
	Claims Remaining After Amendment		Highest No. Previously Paid for	Present Extra	Rate	Additional Fee
Total	* 14	Minus	** 20	= 0	x \$ 11	\$ .00
Indep.	* 5	Minus	*** 5	= 0	x \$ 39	\$ .00
First Presentation of Mult. Dep. Claim					x \$ 125	\$ .00
<b>Total Additional Filing Fee</b>						\$ .00
<b>Total Fee Enclosed</b>						\$ .00

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" in this space is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" in this space is less than 3, write "3" in this space. "The Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-3038. This sheet is submitted in triplicate.
  - ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
  - ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

*Thomas J. Scott, Jr.*  
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Date: May 13, 1996  
**HOWREY & SIMON**  
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PATENTS

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Serial No. 08/487,411 : Group Unit: 2602  
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#8C  
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12-4-97

SECOND SUPPLEMENTAL PRELIMINARY AMENDMENT

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Prior to initiating the Examination of the above-described continuation application, Applicants herewith submit the following Supplemental Preliminary Amendment.

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IN THE CLAIMS

Please amend claim 2 as follows:

2. (Amended) A method of processing signals at a [remote site] receiver station based on a broadcast or cablecast transmission including:

(a) the step of storing a subscriber datum at a computer at said [remote site] receiver station, said subscriber datum being one of the group:

- (1) an investment datum;
- (2) a[n] financial datum;

SUB D1  
2  
3  
4  
5  
6  
C1  
contd